# Package 'uni.survival.tree'

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Type Package

Title A Survival Tree Based on Stabilized Score Tests for High-dimensional Covariates
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Description  A classification (decision) tree is constructed from survival data with high-dimensional covariates. The method is a robust version of the logrank tree, where the variance is stabilized. The main function ``uni.tree" returns a classification tree for a given survival dataset. The inner nodes (splitting criterion) are selected by minimizing the P-value of the two-sample the score tests.  The decision of declaring terminal nodes (stopping criterion) is the P-value threshold given by an argument (specified by user).  This tree construction algorithm is proposed by Emura et al. (2021, in review).
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feature.selected

The names of features that are selected in a tree

## **Description**

The function returns the names of features (covariates) that are selected as the internal nodes of a tree. Only the names of the covariates are shown by excluding the cutt-off values.

## Usage

```
feature.selected(tree)
```

## **Arguments**

tree

:an object made from the "uni.tree" function

#### **Details**

The outputs show important features for predicting survival outcomes.

#### Value

An array of characters that are the names from those covariates selected in the tree

## **Examples**

```
data(Lung,package="compound.Cox")
train_Lung=Lung[which(Lung[,"train"]==TRUE),] #select training data
t.vec=train_Lung[,1]
d.vec=train_Lung[,2]
x.mat=train_Lung[,-c(1,2,3)]
res=uni.tree(t.vec,d.vec,x.mat,P.value=0.01,d0=0.01,S.plot=FALSE,score=TRUE)
feature.selected(res)
```

KM.split

Kaplan-Meier estimator of binary splitting

## **Description**

Given a cut-off-point and selected covariate, return the survival curve for binary classification and the P-value of two sample log-rank test.

## Usage

```
KM.split(t.vec, d.vec, X.mat, x.name, cutoff)
```

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## **Arguments**

t.vec	:Vector of survival times (time to either death or censoring)
d.vec	:Vector of censoring indicators (1=death, 0=censoring)

X. mat : n by p matrix of covariates, where n is the sample size and p is the number of

covariates

x.name : the name of covariate

cutoff :cut-off-point

#### Value

P-value of two sample logrank test and a plot of two KM estimates

## **Examples**

```
data(Lung,package="compound.Cox")
train_Lung=Lung[which(Lung[,"train"]==TRUE),] #select training data
t.vec=train_Lung[,1]
d.vec=train_Lung[,2]
x.mat=train_Lung[,-c(1,2,3)]
KM.split(t.vec,d.vec,x.mat,x.name="ANXA5",cutoff=1)
```

risk.classification

The risk ranks of the samples predicted by a tree

## **Description**

The function returns the ranks (1=the lowest risk, 2=the 2nd lowest risk, ..., k=the highest risk) predicted for the samples.

## Usage

```
risk.classification(tree, X.mat)
```

## **Arguments**

tree :an object made from the "uni.tree" function

X. mat :n by p matrix of covariates from the samples, where n is the sample size and p

is the number of covariates

## **Details**

If the tree has k terminal nodes, then the response 1 respresents the lowest risk and k represents the highest risk.

## Value

A vector of integers, 1, 2, ..., k, that represent the ranks predicted for the samples.

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## **Examples**

```
data(Lung,package="compound.Cox")
train_Lung=Lung[which(Lung[,"train"]==TRUE),] #select training data
t.vec=train_Lung[,1]
d.vec=train_Lung[,2]
x.mat=train_Lung[,-c(1,2,3)]
res=uni.tree(t.vec,d.vec,x.mat,P.value=0.01,d0=0.01,S.plot=FALSE,score=TRUE)
risk.classification(res,x.mat)
```

uni.logrank

Univariate binary splits by the logrank test

## **Description**

The output is the summary of significance tests for binary splits, where the cut-off values are optimized for each covariate.

## Usage

```
uni.logrank(t.vec, d.vec, X.mat)
```

## **Arguments**

t.vec :Vector of survival times (time to either death or censoring) :Vector of censoring indicators (1=death, 0=censoring) d.vec X.mat

:n by p matrix of covariates, where n is the sample size and p is the number of

covariates

## **Details**

The output can be used to construct a logrank tree.

#### Value

A dataframe containing:

Pvalue: the P-value of the two-sample logrank test, where the cut-off value is optimized

cut\_off\_point: the optimal cutt-off values of the binary splits given a feature

left.sample.size: the sample size of a left child node right.sample.size: the sample size of a right child node

## **Examples**

```
data(Lung,package="compound.Cox")
train_Lung=Lung[which(Lung[,"train"]==TRUE),] #select training data
t.vec=train_Lung[,1]
d.vec=train_Lung[,2]
x.mat=train_Lung[,-c(1,2,3)]
uni.logrank(t.vec,d.vec,x.mat)
```

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uni.tree

A survival tree based on stabilized score tests

## **Description**

This function returns a classification (decision) tree for a given survival dataset. The decision of making inner nodes (splitting criterion) is based on the univariate score tests. The decision of declaring terminal nodes (stopping criterion) is the P-value threshold given by an argument. This tree construction algorithm is proposed by Emura et al. (2021).

## Usage

```
uni.tree(
    t.vec,
    d.vec,
    X.mat,
    P.value = 0.01,
    d0 = 0.01,
    S.plot = FALSE,
    score = TRUE
)
```

## **Arguments**

t.vec	:Vector of survival times (time to either death or censoring)
d.vec	:Vector of censoring indicators (1=death, 0=censoring)
X.mat	:n by p matrix of covariates (features), where n is the sample size and p is the number of covariates
P.value	:the threshold of P-value for stop splitting (stopping criterion)
d0	: A positive constant to stabilize the variance of score statistics (Witten & Tibshirani $2010$ )
S.plot	:call for plot the KM estimator for each split
score	:TRUE = score test (Emura et al. 2019); FALSE = log-rank test

## **Details**

In order to stabilize the univariate score tests, a small value "d0" is added to the variance of the score statistics (Witten and Tibshirani 2010). d0=0 corresponds to the logrank test. To perform a large number of the score tests, the "compound.Cox" packages (Emura et al.2019) is applied with d0 as a option.

## Value

A nested list describing a classification tree, consisting of inner nodes and terminal node.

## References

Emura T, Hsu WC, Chou WC (2021). A survival tree based on stabilized score tests for high-dimensional covariates, in review

Emura T, Matsui S, Chen HY (2019). compound.Cox: Univariate Feature Selection and Compound Covariate for Predicting Survival, Computer Methods and Programs in Biomedicine 168: 21-37.

Witten DM, Tibshirani R (2010) Survival analysis with high-dimensional covariates. Stat Method Med Res 19:29-51

## **Examples**

```
data(Lung,package="compound.Cox")
train_Lung=Lung[which(Lung[,"train"]==TRUE),] #select training data
t.vec=train_Lung[,1]
d.vec=train_Lung[,2]
x.mat=train_Lung[,-c(1,2,3)]
uni.tree(t.vec,d.vec,x.mat,P.value=0.01,d0=0.01,S.plot=FALSE,score=TRUE)
```

X.pathway\_discrete.balanced

Generate a matrix of gene expressions (discrete version of X.pathway() against to Emura (2012)) in the presence of gene pathways

## Description

Generate a matrix of gene expressions in the presence of gene pathways, we first produce the matrix by X.pathway(Emura et al. 2012), then we change each value to  $1 \sim 4$  depend on the quantile.

## Usage

```
X.pathway_discrete.balanced(n, p, q1, q2, rho1 = 0.5, rho2 = 0.5)
```

## **Arguments**

n	:the number of individuals (sample size)
р	:the number of genes
q1	:the number of genes in the first pathway
q2	:the number of genes in the second pathway
rho1	:the correlation coefficient for the first pathway
rho2	:the correlation coefficient for the second pathway

#### Value

X n by p matrix of gene expressions

## References

Emura T, Chen YH, Chen HY (2012). Survival Prediction Based on Compound Covariate under Cox Proportional Hazard Models. PLoS ONE 7(10): e47627. doi:10.1371/journal.pone.0047627

#### **Examples**

```
## generate 6 gene expressions from 10 individuals
X.pathway_discrete.balanced(n=10,p=6,q1=2,q2=2,rho1=0.5,rho2=0.5)
```

X.pathway\_discrete.imbalanced

Generate a matrix of unbalance gene expressions (discrete version of X.pathway() against to Emura (2012)) in the presence of gene pathways

## **Description**

Generate a matrix of gene expressions in the presence of gene pathways, we first produce the matrix by X.pathway(Emura et al. 2012), then we change each value to  $1 \sim 3$  depend on the quantile and randomly replace a element to 4 in the last p-(q1+q2) column for each row.

## Usage

```
X.pathway_discrete.imbalanced(n, p, q1, q2, rho1 = 0.5, rho2 = 0.5)
```

:the number of individuals (sample size)

## Arguments n

••	ture number of marticulars (sample size)
р	:the number of genes
q1	:the number of genes in the first pathway
q2	:the number of genes in the second pathway
rho1	:the correlation coefficient for the first pathway
rho2	:the correlation coefficient for the second pathway

## Value

X n by p matrix of gene expressions

## References

Emura T, Chen YH, Chen HY (2012). Survival Prediction Based on Compound Covariate under Cox Proportional Hazard Models. PLoS ONE 7(10): e47627. doi:10.1371/journal.pone.0047627

#### **Examples**

```
## generate 6 gene expressions from 10 individuals
X.pathway_discrete.imbalanced(n=10,p=6,q1=2,q2=2,rho1=0.5,rho2=0.5)
```

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